Multifaceted Role of Heme during Severe *Plasmodium falciparum* Infections in India

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Several immunomodulatory factors are involved in malaria pathogenesis. Among them, heme has been shown to play a role in the pathophysiology of severe malaria in rodents, but its role in human severe malaria remains unclear. Circulating levels of total heme and its main scavenger, hemopexin, along with cytokine/chemokine levels and biological parameters, including hemoglobin and creatinine levels, as well as transaminase activities, were measured in the plasma of 237 *Plasmodium falciparum*-infected patients living in the state of Odisha, India, where malaria is endemic. All patients were categorized into well-defined groups of mild malaria, cerebral malaria (CM), or severe noncerebral malaria, which included acute renal failure (ARF) and hepatopathy. Our results show a significant increase in total plasma heme levels with malaria severity, especially for CM and malarial ARF. Spearman rank correlation and canonical correlation analyses have shown a correlation between total heme, hemopexin, interleukin-10, tumor necrosis factor alpha, gamma interferon-induced protein 10 (IP-10), and monocyte chemotactic protein 1 (MCP-1) levels. In addition, canonical correlations revealed that heme, along with IP-10, was associated with the CM pathophysiology, whereas both IP-10 and MCP-1 together with heme discriminated ARF. Altogether, our data indicate that heme, in association with cytokines and chemokines, is involved in the pathophysiology of both CM and ARF but through different mechanisms.

In mice, injection of heme at later stages of malarial infection triggered cerebral malaria (CM) and also was involved in hepatopathy and dyserythropoiesis (5, 10, 11). Interestingly, during *P. vivax* infections in Brazil, plasma heme levels were found to increase with disease severity (12). In addition, plasma levels of cell-free hemoglobin increased with severity during *P. falciparum* infection and could reflect increased systemic levels of heme (13), and more than 50% of *P. falciparum*-infected children developing CM in Zambia showed the presence of urinary heme, compared to 3.5% for uncomplicated cases (14). However, little is known about heme in the pathophysiology of *P. falciparum* malaria. In this study, we investigated its role in malarial outcomes of *P. falciparum*-infected patients from the state of Odisha, India, where malaria is endemic. We also examined the correlation of plasma levels of heme and hemopexin with circulating cytokine profiles and malaria pathology. Our analysis revealed that heme levels increased during CM and malarial ARF, while conclusive studies remain to be further investigated.

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with cytokines suggest that mechanisms involved in the two pathologies are different.

MATERIALS AND METHODS

Ethics. This study was conducted according to the guidelines set out in the Declaration of Helsinki. The study was approved by the Institutional Human Ethics Committee of SCB Medical College, Cuttack, India, and the Institutional Review Board of all three collaborating institutes: (i) the Institute of Life Sciences, Bhubaneswar, India, (ii) the Tata Institute of Fundamental Research, Mumbai, India, and (iii) Institut Pasteur de Lille, Lille, France. The National Health Office Ethics committee in India approved the study design. All blood samples were collected after obtaining written consent from participants or, in the case of comatose patients, accompanying relatives.

Study site and participants. The study was conducted in India at the SCB Medical College, Cuttack, Odisha, between 2008 and 2011. Patients (n = 237; age ≥15 years) with a history of fever and with evidence of altered sensorium, jaundice, oliguria, respiratory distress, shock, and/or bleeding diathesis were screened for *P. falciparum* infection by Giemsa-stained blood smears and an immune chromatography test (SD Bio Standard Diagnostics India). Patients who were positive for ICT but negative by blood smears were subjected to nested PCR, as described earlier (15). Those with evidence of sepsis were excluded. After diagnosis, all patients received appropriate treatment.

Clinical categorization was based on modified guidelines of the World Health Organization (WHO) (15, 16). Mild malaria (MM) was defined as fever with evidence of *P. falciparum* infection. Severe malaria (SM) was defined as patients having at least one feature of SM reported by the WHO (16) and were further categorized into four groups based on distinct clinical features: CM, SNCM (severe non-CM), MOD (multiple organ dysfunction), and CM-MOD (CM with MOD). CM was defined as patients with a Glasgow coma score (GCS) of ≤10. SNCM patients had one of the severe manifestations without cerebral involvement, such as severe anemia (hemoglobin, <5 g/dl), ARF (creatinine, >3 mg/dl), jaundice (bilirubin, >3 mg/dl), acute respiratory distress (ARDS; PaO$_2$/FiO$_2$ <200 mm Hg), metabolic acidosis (plasma bicarbonate, <15 mmol/liter), and systolic shock (systolic blood pressure, <80 mm Hg). Hepatopathy was diagnosed either as ALT (alanine transaminase) and AST (aspartate transaminase) at >3 times the normal level or as ALT and AST at >2 times the normal level, with bilirubin of >6 mg/dl and alkaline phosphatase of >100 IU/ml in order to distinguish patients displaying liver dysfunctions from patients having solely jaundice. MOD patients had at least two damaged organs, including ARF, hepatopathy, ARDS, and systolic shock. When more than a single organ failure was associated with CM, patients were considered CM-MOD.

Endemic controls (EC) of identical ethnicity and coming from a similar geographical background were enrolled from among patients’ relatives (n = 37). Sepsis was defined as patients showing a systemic inflammatory response syndrome that had a proven or suspected microbial etiology. Sepsis (n = 10) and encephalitis cases (n = 9) were admitted to SCB Medical College. None of the control subjects reported a history of clinical malaria in the 5 years preceding our study.

Blood collection, diagnosis, and biological parameters. Peripheral venous blood was collected on the day of recruitment prior to initiation of any treatment. Biological parameters such as complete blood count, renal (creatinine) and liver (alkaline phosphatase, bilirubin, ALT, and AST) function tests, blood sugar, electrolytes, and lactate levels were measured for all samples. Patients with bleeding diathesis were assessed for platelet count, prothrombin time, activated partial thromboplastin time, and fibrin degradation products for evidence of disseminated intravascular coagulation. All other patients had their platelets estimated. If there was evidence of thrombocytopenia (<100,000/mm$^3$), a coagulation profile was established to determine subclinical disseminated intravascular coagulation. Viral markers for hepatitis A, E, B, and C were tested in patients with hepatopathy and jaundice, and arterial blood gas analysis was performed during ARDS.

Quantification of HRP-2. Plasma histidine-rich protein-2 (HRP-2) levels were measured using enzyme-linked immunosorbent assay (ELISA) as described previously (17). An anti-*P. falciparum* HRP-2 IgM (MPFM-55A; Santa Cruz Biotechnology, France) was used as the capture antibody, an anti-*P. falciparum* HRP-2 IgG1 was used as a detection antibody (MPFG-55; Santa Cruz Biotechnology, France), and a horse-radish peroxidase-coupled monoclonal anti-IgG1 antibody (SB77c; Abcam, France) was used as the secondary antibody for detection. A standard curve was added in duplicate to each plate, and it was obtained through serial dilutions of a serum sample from a previously described cohort with known parasitemia (18). Samples out of range of detection were more or less diluted. The lower limit of detection was 0.5 arbitrary units (AU).

Determination of plasma levels of total heme and hemopexin. Total heme levels were measured in plasma using the QuantiChrom heme assay kit (BioAssay Systems, USA). Hemopexin levels were measured through ELISA using the human hemopexin ELISA kit (Abcam, France).

Cytokine measurements. The concentrations of plasma cytokines for each sample were measured in duplicate using the Milliplex MAP multiplex assay kit (Millipore, France). The Bio-Plex 200 system (Bio-Rad, France) was used for detection, and data were analyzed with the Bio-Plex Manager 5.0 software (Bio-Rad, France).

Statistical analysis. Statistical significance was determined by the nonparametric Mann-Whitney test for pairwise comparisons, or the Kruskal-Wallis test for multiple comparisons, using GraphPad Prism software (version 5; GraphPad Prism Software, USA). Spearman rank correlation analyses and canonical correlations were performed using Igor-Pro software (WaveMetrics, USA). $P < 0.05$ was considered significant.

RESULTS

Characteristics of patient groups. Among *P. falciparum*-infected patients recruited in the study, 180 (76%) individuals had SM (Table 1). Jaundice, severe anemia, and metabolic acidosis were not considered to be organ dysfunctions but were included in the SNCM group, and they accounted for 21% of SM cases (see Fig. S1A in the supplemental material). Nearly half of the patients with SM (48%) had a single organ dysfunction (see Fig. S1B), with CM being the most common complication (51%), followed by ARF (40%), hepatopathy (7%), and systolic shock (2%) (see Fig. S1B); 23% and 8% had two and three damaged organs, respectively, whereas only one patient had four dysfunctional organs (see Fig. S1A). Among patients having two damaged organs, 83% had ARF, 66% had CM, and 46% had hepatopathy (see Fig. S1C). Only two patients had ARDS that was associated with at least two other damaged organs. It is noteworthy that age was not a predictor of the severity of malaria (Table 1). A higher percentage of males was observed among all malaria groups, which is in agreement with a previous report (19). HRP-2 level has been shown to be a good indicator of total parasite biomass (20). In this study, plasma levels of HRP-2 were higher in SM patients than in MM patients, while only CM and CM-MOD patients had significantly higher HRP-2 levels (Table 1).

Heme and hemopexin levels in severe malaria. To understand the impact of heme in the pathophysiology of severe *P. falciparum* malaria, we measured plasma levels of heme, which include both free and protein-bound forms, in *P. falciparum*-infected patients and correlated them with disease severity. Patients with systemic (sepsis; n = 10) and brain (encephalitis; n = 9) inflammatory disease also were included to assess whether any observed association was malaria specific. We found no change in heme levels in cases of encephalitis and sepsis. However, heme levels increased...
with malarial disease severity as well as with the number of damaged organs (Fig. 1A and B). Mortality was observed only during CM (n = 6) and CM-MOD (n = 9), and CM/CM-MOD patients who did not survive had a 2-fold increase in heme levels compared to those who survived (P < 0.01; Mann-Whitney test). Also, we noted an inverse correlation between heme and hemopexin levels (R = −0.416; P < 0.0001). There was a 6-fold decrease in the plasma levels of hemopexin in SM patients compared to that of MM patients or ECs, and hemopexin levels decreased significantly with the number of damaged organs (Fig. 1C and D).

**Heme/hemopexin levels and organ injuries.** Systolic shock cases were excluded from analysis because of the small number of patients (n = 4). Similar total heme levels were obtained in patients with a single organ dysfunction and those with MM (Fig. 1B and 2A). However, hemopexin levels decreased significantly in patients having one damaged organ (Fig. 1C), especially when the brain and kidney were involved but not the liver (Fig. 2B). We also compared total heme and hemopexin levels according to the GCS. Considering a GCS of ≤6 to be indicative of a poor prognosis (21), we grouped patients into three GCS categories: ≤6, 7 to 10, and >10. Levels of total heme significantly increased when the GCS was ≤6 (Fig. 2C) and were inversely correlated to plasma hemopexin levels (Fig. 2D). Additionally, hemopexin levels were lower in patients with malarial ARF but not with hepatopathy (Fig. 2B). According to a Spearman’s rank correlation analysis, creatinine levels correlated negatively with hemopexin and positively with total heme, whereas ALT correlated with total heme levels only (Fig. 2E to H).

**Correlation of heme and hemopexin levels with cytokines in severe malaria.** In order to assess whether plasma levels of total heme and hemopexin were related to cytokine profiles in malaria, we measured the plasma concentrations of the following cytokines: granulocyte macrophage colony-stimulating factor, granulocyte colony-stimulating factor, alpha 2 interferon (IFN-α2), IFN-γ, interleukin-1α (IL-1α), IL-1β, IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8, IL-10, IL-12p40, IL-12p70, IL-13, IL-15, IL-17, IFN-γ-induced protein 10 (IP-10), macrophage inflammatory protein 1α (MIP-1α), MIP-β, monocyte chemotactic protein 1 (MCP-1), tumor necrosis factor alpha (TNF-α), and TNF-β. IL-10, IP-10, TNF-α, and MCP-1 correlated with both heme and hemopexin levels (see Table S1 in the supplemental material).

To understand the networks involved among these different factors, we performed a canonical correlation analysis between cytokine levels and biological parameters by including all study factors, we performed a canonical correlation analysis between heme and cytokine levels and biological parameters by including all study factors, we performed a canonical correlation analysis between heme and cytokine levels and biological parameters by including all study factors.
patients. Canonical analysis is a multivariate analysis that distinguishes components of relationships between two sets of variables. It can further describe, by coefficients, how variables combined in one set explain a corresponding variable combination in a second set and in each component, also called the canonical variate. The negative or positive coefficient assigned for each variable predicts the way of its contribution in the component. The two sets of variables used in our analysis were the following: set X included IL-10, IP-10, MCP-1, and TNF-α, and set Y included creatinine, heme, and hemopexin (Table 2). Analysis revealed two separate significant canonical variates (first, \( P < 0.0001 \); second, \( P = 0.0021 \)). Total heme and creatinine contributed negatively to the first canonical variate, while all cytokines were positively related to it. Within each set of variables, IP-10 and hemopexin had the highest coefficients, suggesting that they were major contributors to this component of the relationship (Table 2). IL-10 and IP-10 were positively associated with the second significant canonical variate. The same also was true for heme, hemopexin, and creatinine, suggesting a positive correlation between these variables. Interestingly, within this second canonical variate, MCP-1 and creatinine had the highest coefficients (Table 2). The canonical analysis indicates the existence of complex networks, while overall only the plasma levels of MCP-1 were significantly higher during malarial ARF than MM (Fig. 3A to D).

It is noteworthy that the plasma cytokine profile in CM patients was different from that observed in malarial ARF. Plasma IL-10, IP-10, MCP-1, and TNF-α levels were comparable between CM and MM patients (Fig. 4A to D). Therefore, canonical correlations between IL-10, IP-10, MCP-1, and TNF-α (set X) and heme, hemopexin, and the CM-specific GCS (set Y) also were calculated (Table 3). Only one significant canonical variate was found (\( P < 0.0001 \)), within which heme levels were negatively associated with the component, while GCS and hemopexin were positively associated with it. In addition, all of the cytokines from the first set of variables were positively associated with the component. This suggests that low plasma levels of heme are associated with an increase in GCS and increased cytokine levels. In each set of variables, IP-10 and hemopexin had the highest coefficients, suggesting their major effect in the CM-related network.

**DISCUSSION**

Hemolytic conditions are responsible for the release of heme into circulation. Despite its critical role in many biological processes, free heme can be involved in the induction of pathogenic re-

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**TABLE 2** Canonical correlation analysis of cytokine, total heme, hemopexin, and creatinine levels

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<tr>
<td>Creatinine</td>
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</table>

* Canonical correlation analysis was done using the data for all of the patients and was calculated between the two sets of variables. Analysis revealed two separate significant canonical variates (first, \( P < 0.0001 \); second, \( P = 0.0021 \)). Each column describes one of the two total canonical variates, with the respective coefficients for the variables in each set. IL-10, IP-10, heme, hemopexin, and creatinine were positively correlated with the second canonical variate, whereas MCP-1 and TNF-α were negatively correlated with it.

**FIG 2** Correlation between clinical features and biological parameters with total heme and hemopexin levels. (A and B) Total heme and hemopexin levels in the plasma of *P. falciparum* patients were compared between mild malaria (MM) cases and individuals having only a single complication: cerebral malaria (brain), hepatopathy (liver), and malarial acute renal failure (kidney). (C and D) Total heme levels and hemopexin levels were compared according to the Glasgow coma score (GCS) and also were correlated using a Spearman’s rank correlation test to alanine transaminase levels (ALT) (E and F) and creatinine levels (G and H) of *P. falciparum*-infected patients. The dark lines indicate the medians. Data shown in panels A to D were analyzed using the Kruskal-Wallis test with a Dunn’s posttest. In panel B, ψ indicates differences with MM, and in panels A to D, an asterisk indicates differences between selected groups. *, \( P < 0.05 \); ***, \( P < 0.001 \).
Therefore, to assess the role of heme in human malaria, we measured the plasma levels of total heme and hemopexin in *P. falciparum*-infected patients. We found that the highest total heme levels were correlated inversely with hemopexin levels in both CM and ARF patients. Thus, our results provide evidence that the severity of *P. falciparum* malaria is associated with an increase in total heme levels in plasma. Accordingly, heme levels were particularly high in fatal cases with an increasing number of affected organs but not in cases of hepatopathy. To our knowledge, this study is the first to emphasize the deleterious effects of heme in *P. falciparum* infection.

Hemopexin is the most important element of the heme-detoxifying system because of its high binding affinity to heme that allows it to ferry heme from the bloodstream to scavenger cells (9). The degradation of the heme-hemopexin complex is likely to result in a decrease in hemopexin levels during hemolysis, thereby contributing to the systemic accumulation of heme (22). We have shown previously that intraperitoneal injections of heme in mice resulted in a decrease in hemopexin levels (10). Therefore, we propose that hemopexin levels reflect free heme levels. Interestingly, there is a negative correlation between total heme and hemopexin levels in malaria, suggesting that during infection, mainly unbound heme is found in plasma. Hemopexin is a positive acute-phase protein, and previous studies have reported an increase in the circulating levels of hemopexin and its precursor during sepsis or in MM (23, 24). However, decreased levels of hemopexin during severe sepsis also were associated with a low survival prognosis, highlighting the importance of hemopexin in the scavenging of free heme (23, 25). Our observation that heme and hemopexin levels remained unchanged in the severe sepsis and encephalitis groups strongly supports the association of systemic accumulation of heme in malarial infection with the lysis of infected RBCs but not with inflammatory conditions. This assumption is reinforced by the significant correlation of heme with HRP-2 (*R* = 0.475; *P* < 0.001), as HRP-2 levels are a good indicator of the total body parasite biomass (20). Even though we did not observe any correlation between total heme and hemoglobin levels (*R* = 0.015; *P* = 0.839), we cannot exclude the contribution of healthy RBCs to the systemic release of heme.

Our findings reveal that heme plays a role in the pathophysiology of human CM, which also has been confirmed previously by studies in mice (5). In India, CM is often associated with MOD, including malarial ARF (26). In this study, 31% of SM patients had more than two damaged organs, and ARF was the second most common cause of SM (see Fig. S1 in the supplemental material). Despite the increasing incidence of malarial ARF in India, little is known about its pathophysiology (2). ARF is a frequent complication in disorders associated with high levels of heme (8, 25, 27).

**TABLE 3 Canonical correlation analysis of cytokine, total heme, and hemopexin levels and GCS**

<table>
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*Canonical correlation analysis was done using the data for all patients and was calculated between the two sets of variables. Analysis revealed one significant canonical variate (*P* < 0.0001). Heme was negatively associated with the component, whereas all of the other variables from both sets were positively associated with it.*

FIG 3 Cytokine levels during MM and ARF. IL-10 (A), TNF-α (B), IP-10 (C), and MCP-1 (D) levels were measured in the plasma of *P. falciparum*-infected patients having mild malaria (MM) or only malarial acute renal failure (ARF). The dark lines indicate the medians. Data were analyzed using the Mann-Whitney test. *, *P* ≤ 0.05; n.s., nonsignificant difference.

FIG 4 Cytokine levels during MM and CM. IL-10 (A), TNF-α (B), IP-10 (C), and MCP-1 (D) levels were measured in the plasma of mild malaria (MM) and cerebral malaria (CM) patients. The dark lines indicate the medians. Data were analyzed using the Mann-Whitney test. **, *P* ≤ 0.01; ***, *P* ≤ 0.001; n.s., nonsignificant difference.
during malarial ARF, pointing to a possible toxic effect of heme on the kidneys during \textit{P. falciparum} infections. During CM and malarial ARF, it is likely that the heme-mediated pathophysiology involves mechanical as well as immunological processes (8, 28, 29). Moreover, as a catalyst of ROS generation, heme decreases the intracellular levels of reduced glutathione, which is associated with the modulation of the immune response through the polarization of T lymphocytes and macrophages toward T-helper 2 and M2 profiles (10, 30).

Cumulative evidence in our study strongly indicates an immunological network involving heme and cytokines, such as IL-10, IP-10, MCP-1, and TNF-\(\alpha\), in the pathophysiology of CM and malarial ARF. As suggested previously, IP-10 levels seemed to be regulated by hemeopexin (31), but cytokine levels were not associated with the outcome of CM (32, 33). In fact, we have shown that IP-10 alone was not a predictor of CM in the Odishi population (F. Herbert, N. Tchitchek, D. Bansal, S. Pathak, C. Bécavin, C. Fesel, E. Dalko, P.-A. Cazenave, C. Preda, B. Ravindran, S. Sharma, B. Das, and S. Pied, submitted for publication). In this context and as suggested by canonical correlation analyses, IP-10 might be a major component of the complex immune network involving heme and hemopexin in the pathophysiology of CM. Despite a positive correlation between heme and creatinine levels, malarial ARF was associated with increased levels of MCP-1 only, indicating different immune-mediated mechanisms in the pathophysiology of these two malaria-driven complications. Heme- and hemoglobin-induced renal damage is further associated with the production of high levels of ROS and an overexpression of HO-1, which inhibits MCP-1 expression through a negative loop (27, 34). In our canonical analysis, this may correspond to the second canonical variate, where low levels for MCP-1 and TNF-\(\alpha\) were related to high levels of heme. In addition, increased levels of IL-10 were associated with increased levels of creatinine, which is in agreement with the protective effect of IL-10 during ARF in murine models (35). In contrast with this observation, the first canonical variate was related to overall high cytokine/chemokine levels rather than to high hemopexin, low heme, and low creatinine levels, highlighting the complexity of the networks involved in the pathophysiology of ARF.

Although the pathophysiology of CM and malarial ARF could, in part, be driven by genetic and environmental factors, our results suggest that IL-10, IP-10, MCP-1, and TNF-\(\alpha\), along with heme and hemopexin, contribute to the development of these conditions via different regulatory mechanisms. We also suggest that plasma heme and hemopexin levels are good indicators for differentiating severe outcomes of \textit{P. falciparum} malaria. To gain better insight into the complex mechanisms underlying these regulations, we will investigate, in further studies, the polymorphism in the promoter of the HO-1 gene, \textit{HMOX1}, that influences its expression and circulating levels of heme (36, 37).

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