

1 The demographic history of populations experiencing asymmetric
2 gene flow: combining simulated and empirical data.

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17 **Keywords:** source-sink dynamics, demographic change, fish, rivers, ABC

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27 **Running title:** Asymmetric gene flow and demographic inferences

28

29 **Abstract**

30 Population structure can significantly affect genetic-based demographic inferences,
 31 generating spurious bottleneck-like signals. Previous studies have typically assumed island or
 32 stepping-stone models, which are characterized by symmetric gene flow. However, many
 33 organisms are characterized by asymmetric gene flow. Here, we combined simulated and
 34 empirical data to test if asymmetric gene flow affects the inference of past demographic
 35 changes. Through the analysis of simulated genetic data with three methods (i.e.,
 36 BOTTLENECK, M-ratio and MSVAR), we demonstrated that asymmetric gene flow biases
 37 past demographic changes. Most biases were towards spurious signals of expansion, albeit
 38 their strength depended on values of effective population size and migration rate. It is
 39 noteworthy that the spurious signals of demographic changes also depended on the statistical
 40 approach underlying each of the three methods. To some extent, biases induced by
 41 asymmetric gene flow were confirmed in an empirical multi-specific dataset involving four
 42 freshwater fish species (*Squalius cephalus*, *Leuciscus burdigalensis*, *Gobio gobio*, *Phoxinus*
 43 *phoxinus*). Indeed, all species exhibited signals of bottlenecks across two rivers for two out of
 44 the three methods. This suggests that, although potentially biased by asymmetric gene flow,
 45 these methods were able to bypass this bias when a bottleneck actually occurred. Our results
 46 show that population structure and dispersal patterns have to be considered for proper
 47 inference of demographic changes from genetic data.

Introduction

Inferring the demographic history of populations such as changes in effective population size (contractions, expansions) is of prime importance for basic research and conservation issues (Chikhi & Bruford 2005; Leblois *et al.* 2006). Several indirect methods based on the analysis of neutral genetic variation have been developed to that aim (Cornuet & Luikart 1996; Garza & Williamson 2001; Beaumont 1999; Storz & Beaumont 2002). These methods have been largely used to assess the impact of environmental or anthropogenic changes on the demographic history of endangered populations (e.g., Goossens *et al.* 2006; Sousa *et al.* 2008).

However, inferring the demographic history of wild populations remains challenging. Indeed, most methods assume that populations can be approximated by simple models such as the Wright-Fisher model (Cornuet & Luikart 1996; Leblois *et al.* 2006). However, wild populations rarely match these assumptions, since most of them are either spatially structured, affected by external gene flow and/or at a non-equilibrium state (Hanski 1998; Broquet *et al.* 2010; Chikhi *et al.* 2010). Consequently, any deviations from these simple models may lead to misinterpretations or incorrect inferences (Nielsen & Beaumont 2009; Städler *et al.* 2009; Chikhi *et al.* 2010). Given that the development of inference methods based on complex demographic models poses problems of its own, it is crucial to explore how existing inference methods are robust to deviations from simple models assumptions (Leblois *et al.* 2006; Städler *et al.* 2009; Chikhi *et al.* 2010). Recent programs based on the coalescent framework (Kingman 1982) allow the simulation of genetic data under a wide variety of population models (Hoban *et al.* 2012). Thus, specific simulated genetic datasets can be analyzed to test the potential effects of particular population characteristics on the genetic inference of populations' demographic history. Accordingly, population structure (Nielsen & Beaumont

2009; Städler *et al.* 2009; Chikhi *et al.* 2010; Peter *et al.* 2010), sampling scheme (Städler *et al.* 2009; Chikhi *et al.* 2010), gene flow reductions (Broquet *et al.* 2010) and isolation-by-distance (Leblois *et al.* 2006) have been identified as generators of false signals of demographic change, with biases towards bottlenecks (e.g., Broquet *et al.* 2010; Chikhi *et al.* 2010) and, more rarely, towards expansions (e.g., Leblois *et al.* 2006).

A population characteristic that has rarely been considered to date in the context of demographic history inferences is asymmetric gene flow. Differences in habitat quality, social interactions or abiotic constraints (e.g., wind, oceanic currents, river flow or gravity) frequently generate source-sink dynamics and impose asymmetric gene flow on natural populations (Kawecki & Holt 2002). For instance, in riverine freshwater ecosystems, organisms generally experience an inherent downstream-biased gene flow due to the unidirectional water flow of rivers (Hänfling & Weetman 2006; Pollux *et al.* 2009). Such asymmetry in gene flow drastically affects the genetic structure of wild riverine populations, with, for instance, an accumulation of genetic diversity (e.g., number of alleles per locus) downstream (i.e., sink populations, Kawecki & Holt 2002; Hänfling & Weetman 2006).

The demography of wild populations is dramatically affected by human pressures, and notably by human-induced habitat fragmentation (Fahrig 2003; Henle *et al.* 2004). Freshwater ecosystems are particularly affected by habitat fragmentation, either through the building of hydroelectric dams or the presence of smaller obstacles like weirs (2 to 3 meters high, Raeymaekers *et al.* 2008; Blanchet *et al.* 2010). In general, habitat fragmentation induces changes in effective population size (N_e) that are theoretically inferable using the methods described above. However, river fragmentation by dams and weirs may strongly affect the movements of fishes, in both upstream and downstream directions. As a result, river fragmentation can alter natural gene flow, either by exacerbating or, on the contrary, by

disrupting the natural asymmetric (i.e., downstream-biased) gene flow expected on such ecosystems (Hänfling & Weetman 2006; Raeymaekers *et al.* 2008; but see Horreo *et al.* 2011). Although several studies have used coalescent- and frequency-based estimators of N_e in fragmented rivers to infer effects of recent fragmentation (Alò & Turner 2005; Sousa *et al.* 2008; Nock *et al.* 2011), none of them have quantified how asymmetric gene flow might affect the inference of past demographic changes that can be drawn from molecular markers in such ecosystems.

In this paper, we explored both theoretically and empirically the potential problem posed by asymmetric gene flow to infer temporal changes in N_e . First, we analyzed genetic data simulated under a stationary linear stepping-stone model to test if asymmetric gene flow can generate false signals of demographic changes. This was done using three methods widely used to infer demographic changes: those implemented in the programs BOTTLENECK (Cornuet & Luikart 1996; Piry *et al.* 1999) and MSVAR 1.3 (Beaumont *et al.* 1999; Storz & Beaumont 2002), and the M-ratio method (Garza & Williamson 2001). Second, we used the same three methods to analyze empirical data involving four freshwater fish species (*Squalius cephalus*, *Leuciscus burdigalensis*, *Gobio gobio*, *Phoxinus phoxinus*) sampled in two rivers, which differ by their level of anthropogenic fragmentation and asymmetric gene flow.

Materials & methods

Simulated data

To explore the consequences of asymmetric gene flow on the inference of changes in N_e , we simulated genetic data under 27 different scenarios representing populations experiencing symmetric or asymmetric gene flow but no changes in N_e , and then used this data as input for three methods used to infer changes in N_e .

120

121 *The population genetics model.* We used the coalescent-based program ms along with the
 122 microsat.exe program (Hudson 2002) to simulate genetic data under a strict Stepwise
 123 Mutation Model (SMM). Specifically, we approximated a river, by considering a linear
 124 stepping-stone population model composed of 10 demes (see Figure 1). All demes had the
 125 same effective number of diploid individuals N , which remained constant across generations.
 126 Each deme was characterized by three parameters: the scaled mutation rate $\theta = 4N\mu$, where μ
 127 represents the neutral mutation rate *per* locus, and two scaled migration rates (M)
 128 corresponding to the downstream- and upstream-directed gene flow: $M_{Downstream} = 4Nm$ and
 129 $M_{Upstream} = \frac{M_{Downstream}}{a}$, where m is the migration rate and a is a parameter representing the
 130 gene flow asymmetry (Figure 1). We used values of $a > 1$ to generate downstream-biased
 131 gene flow. Deme 1 and deme 10 in Figure 1 can be considered as the most upstream and
 132 downstream demes of the hypothetical river, respectively.

133 *Parameter estimation and exploration.* For all simulations, we assumed a unique neutral
 134 mutation rate of $\mu = 5.56 \times 10^{-4}$. This value corresponds to the average mutation rate calculated
 135 for 49 microsatellite loci in the Cyprinid fish *Cyprinus carpio* L. (Yue *et al.* 2007). For
 136 selecting values for all other model parameters (i.e., N , m and a , this combination of
 137 parameters will hereafter be referred to ϕ), we first estimated values that best characterizes
 138 riverine fish populations by performing ABC-regression analyses (i.e., approximate Bayesian
 139 computation, Beaumont *et al.* 2002) based on observed summary statistics compiled for
 140 several populations through a literature survey (Table S1). Specifically, we first obtained or
 141 computed for sixteen riverine fish populations from fourteen rivers (i) the mean allelic
 142 richness *per* population (AR), and (ii) the Pearson's correlation coefficient (r) between the

mean AR per sampling location and the distance of each sampling location from the river source. Significant positive correlations between AR and distance from the river source are characteristic of river organisms that experience downstream-biased gene flow asymmetry (Hänfling & Weetman 2006; Blanchet *et al.* 2010). In a second step, we generated a total of 1,328,784 different genetic datasets under the population genetics model described above, by drawing values for ϕ from grids, as in Weiss & von Haeseler (1998; see Figure S1). As noted by Beaumont *et al.* (2002), grids of parameters can be seen as uniform priors. For each genetic dataset, fifteen independent microsatellite loci were simulated, and a total of 22 diploid individuals were sampled for each deme. As for the literature survey populations, two summary statistics (AR and r) were computed for each simulated dataset.

Next, we applied an ABC-regression algorithm (Beaumont *et al.* 2002) to each surveyed population independently, by using the R package "abc" (Csillery *et al.* 2012). For each ABC analysis, we retained 1% of the simulations whose summary statistics were the closest from those calculated for the surveyed population. Imperfect matching between observed and simulated data was corrected by using a local linear regression method (Beaumont *et al.* 2002; Csillery *et al.* 2012). We estimated the median values of ϕ from the corrected posterior distributions of ϕ for each population (see Table S1) and, finally, we averaged these median values over all surveyed populations to obtain a first set of ϕ values: $N=3147$, $m=0.053$ and $a=7.5$ (Table S1). We assumed that this set of ϕ values approximately characterizes riverine fish populations. Then, to explore and generalize the effects of varying N , m and a on the inference of changes in N_e , we explored two additional values per parameter (leading to exploring $N=\{50, 500, 3147\}$, $m=\{0.01, 0.053, 0.1\}$ and $a=\{1, 7.5, 50\}$), and crossed all parameter values in a full-factorial design so as to generate genetic data under 27 different scenarios. An asymmetry of $a=50$ is probably unrealistic, but the goal here

was to explore the effect of asymmetry in extreme conditions so as to explore how it differs from a more realistic scenario (i.e., $a=7.5$). These scenarios were used to generate input genetic data for further demographic history analyses (see § *Demographic history inference*).

Empirical data

Biological models. The four fish species considered here are all of the family Cyprinidae, belong to the same trophic level (i.e., they are essentially insectivorous) and differ principally in their maximum body length and dispersal abilities (Bolland *et al.* 2008; De Leeuw & Winter 2008). *Squalius cephalus* (the European chub) and *Leuciscus burdigalensis* (the rostrum dace) are two large-bodied fish (a maximum body length of 600 mm and 400 mm respectively), whereas *Gobio gobio* (the gudgeon) and *Phoxinus phoxinus* (the European minnow) are small-bodied fish (200 mm and 140 mm respectively).

Study area. Sampling was performed in two rivers that belong to the Adour-Garonne basin drainage (South-western France): the Célé and the Viaur rivers (Figure S2). These rivers present similar abiotic conditions but display differences concerning their level of fragmentation. The Viaur River is highly fragmented with more than 50 small weirs (2-3 meters high, constructed within the last 800 years) and two recent hydroelectric dams (30 meters high, dating from 60 years ago, see Figure S2). We henceforth refer to this river as the “highly fragmented river”. In the Célé River, ten-fifteen small weirs are found along the river gradient. These were established over the last century and most of them are equipped with fish ladders. The Célé River will be referred to as the “weakly fragmented river”. It is noteworthy that asymmetric gene flow, effective population size and migration rate values have been estimated for all these populations (i.e., a population here refers to a species within

a river system) through the ABC-regression algorithms presented above; these eight empirical populations are characterized by a wide range of parameter values (see Table S1).

Sampling design. During summer 2006, a total of 10 and 11 sites were sampled on the Viaur and Célé rivers respectively (Figure S2). We covered the entire upstream-downstream gradient for both rivers to account for the entire genetic structure of the fish populations. At each site, about 20 individuals *per* species were sampled by electric fishing. Small fragments of pelvic fins were collected and preserved in 70% ethanol for later genetic analyses. *L. burdigalensis* and *S. cephalus* were not found in all sampling sites, probably because the habitat (notably temperature) is not favorable for these two species.

Genetic data. A salt-extraction protocol (Aljanabi & Martinez 1997) was performed to extract genomic DNA from the pelvic fins of fishes. *Phoxinus phoxinus* and *Gobio gobio* were genotyped at eight microsatellite loci, *Squalius cephalus* at ten loci and *Leuciscus burdigalensis* at fifteen loci. Loci were amplified using multiplex PCRs and amplified fragments were scored using the software GENEMAPPER® v.4.0 (Applied Biosystems, Foster City, CA, USA). Neither departure from Hardy-Weinberg equilibrium nor null alleles were detected for any of these loci (see Blanchet *et al.* 2010 for further details).

Demographic history inference

We used three approaches to infer past demographic changes through the analysis of genetic data. Two of them are moment-based methods that rely on summary statistics (i.e., the BOTTLENECK method, Cornuet & Luikart 1996; and the M-ratio method, Garza & Williamson 2001) and the third uses a full-likelihood Bayesian approach (i.e., the MSVAR method, Beaumont 1999; Storz & Beaumont 2002). For simulated data, analyses were

performed at two different spatial levels: (i) at the deme level, where each deme was analyzed independently (i.e., 10 demes x 27 scenarios = 270 analyses, 22 individuals per analysis) and (ii) at the population level, where all individuals from a same scenario were pooled together in a single analysis (i.e., one analysis per scenario, 220 individuals per analysis). Pooling individuals from multiple sampling locations counters potential biases induced by population structure when looking for demographic changes and improves the characterization of parameters associated to demographic changes at the population level (Chikhi *et al.* 2010). Due to the computational burden inherent to MSVAR, population-level analyses were not performed using this method. For empirical data, analyses were done (i) at the sampling site level (i.e., 74 analyses, ~20-22 individuals *per* analysis) and (ii) at the population level (i.e., 8 analyses, between 140 and 220 individuals *per* analysis).

BOTTLENECK method. We applied the moment-based method of Cornuet & Luikart (1996) as implemented in the BOTTLENECK software (Piry *et al.* 1999). This method compares the expected heterozygosity computed from a sample (H_e) through observed allele frequencies with the expected heterozygosity (H_{eq}) based on the allele frequencies expected at the mutation-drift equilibrium (given the observed number of alleles n_A of the sample). The significance of deviations from mutation-drift equilibrium was tested through Wilcoxon's signed rank tests. For simulated data, we performed analyses assuming the Stepwise Mutation Model (SMM, Piry *et al.* 1999), as it is the mutation model used by ms to simulate the data (Hudson 2002). Additionally, we calculated from the output of BOTTLENECK departures from mutation-drift equilibrium averaged over loci: $\Delta H = H_e - H_{eq}$ (Broquet *et al.* 2010). For empirical data, we performed analyses assuming a Two-Phase mutation Model (TPM), which is more appropriate for empirical microsatellite data (Di Rienzo *et al.* 1994; Piry *et al.* 1999).

We parameterized the TPM with 90% single step mutations (Garza & Williamson 2001), assuming a conservative variance among multiple steps of 10.

M-ratio method. To detect significant population declines in our datasets, we applied Garza & Williamson's M-ratio test (Garza & Williamson 2001). It is noteworthy that this method (contrary to the two other methods) does not allow the detection of demographic expansions. In bottlenecked populations, the number of alleles on microsatellite loci (n_A) is expected to be reduced more quickly than the range in allele size (r_A). As a result, the ratio $M = n_A / r_A$ will be smaller in bottlenecked populations than in stable populations (Garza & Williamson 2001). Accordingly, we calculated M for both empirical and simulated datasets. Then, we compared M values obtained from our data with 95% critical M values (M_c), calculated from 10,000 simulations of stable populations with the Critical_M program (Garza & Williamson 2001). An M value that falls below the M_c value indicates that the population has experienced a significant bottleneck. For simulated scenarios, we assessed M_c values assuming the SMM, and using the θ values previously used to simulate the data. For empirical data, θ was calculated assuming $\mu=5.56 \times 10^{-4}$ and using N_e values reported in Blanchet *et al.* (2010). We assumed a TPM model with a proportion of one-step mutations of 90% and an average size of non-one-step mutations of 3.5 (Garza & Williamson 2001).

MSVAR method. To detect and quantify changes in N_e , we used a method relying on a hierarchical Bayesian model based on a coalescent framework (as implemented in MSVAR 1.3, Beaumont 1999; Storz & Beaumont 2002). This model assumes that a stable, closed population of ancestral size N_I increased or decreased exponentially to its current size N_0 over a time interval ta (in years). Given lognormal prior distributions and microsatellite data (i.e., allelic distribution and relative allele sizes), the method infers the model parameters $\Phi = \{N_0, N_I, ta, \theta\}$, where $\theta = 4N_0\mu$ and μ is the mutation rate. The posterior probability density of Φ

is established through Markov Chain Monte Carlo (MCMC) techniques. Loci are supposed to be independent and to evolve under a strict SMM, but the method is also robust against deviations from strict SMM (Storz & Beaumont 2002; Girod *et al.* 2011). For each MSVAR analysis, we performed four independent runs of 5×10^9 steps, varying the starting values and means for priors and hyperpriors (values in Table S2). Parameters were thinned with an interval of 5×10^4 steps, resulting in output files with 1×10^5 values. To avoid bias induced by the starting values on parameter estimation, the first 10% of the chains was discarded (i.e., burn-in). We checked the convergence of the chains visually and with the Gelman & Rubin analysis (Gelman & Rubin 1992). We considered that chains converged well when values smaller than 1.1 were obtained (Gelman & Hill 2007).

For each independent run of MSVAR, the magnitude of the demographic change was estimated through the calculation of an effect size (i.e., Hedges'd, Hedges & Olkin 1985) and its 95% confidence interval. Hedges'd is a mean standardized difference (i.e., independent of the original scale) between the log of the ancestral population size ($\log(N_I)$) and the log of the current population size ($\log(N_0)$). The standardization of the mean difference is obtained by dividing the mean difference by a pooled standard deviation (formulas in Appendix S1). We combined the four effect sizes of each independent run to calculate a mean effect size (MES) *per* analysis, along with its 95% confidence interval (Rosenberg *et al.* 1997). A MES value whose confidence interval includes zero means that the population did not experience a significant demographic change. Significantly negative values correspond to significant bottlenecks, while significantly positive values are significant population expansions. Pairs of MES were considered as significantly different when their 95% confidence intervals did not overlap. Information about these methods along with an illustrative example is provided in the Appendix S1.

For empirical data, we further estimated the beginning of the exponential demographic changes inferred with MSVAR by calculating Bayes' factors (BFs), which measure the weight of evidence of alternative time intervals for ta (i.e., the time of the beginning of the demographic change). BFs were first computed for time periods of 10 years in a sliding window from 0 to 100 years, then for periods of 100 years from 200 to 10,000 years ago. BFs greater than 4 are usually interpreted as positive evidence, while BFs greater than 7 are considered as significant (Storz & Beaumont 2002; Sousa *et al.* 2008). For each species on the highly fragmented river, we also calculated (through the posterior distribution of ta) the probability that the detected demographic changes occurred (i) after dam construction ($p_{(dam)}$, ta between 0-60 years ago), and (ii) after weir construction began ($p_{(weir)}$, ta between 0-800 years ago). We considered a generation time of three years for *S. cephalus* and *L. burdigalensis*, and of two years for *G. gobio* and *P. phoxinus* (Poncin *et al.* 1987). For the sake of clarity, we present only BFs computed for ta at the population level.

Effects of N , m , a and distance from the source on demographic history inference. In order to synthesize results obtained from the simulated datasets, we ran Generalized Linear Models (GLMs) to statistically test for each method independently the effects of N , m , a and distance from the putative source (D) on inferences of changes in N_e . In these models, the dependent variables were ΔH , M and MES (calculated at the deme level) for the BOTTLENECK, M-ratio and MSVAR methods respectively. Explanatory variables were N , m , a and D . They were all treated as fixed effects, and we further included all two-term and three-term interactions so as to test the significance of interacting effects between explanatory variables. We assumed Gaussian error terms for all dependent variables and the significance of each fixed effect was assessed using F-ratio tests.

Results

Simulated data

BOTTLENECK method. At the deme level and over all scenarios, 47 datasets (47/270=17.4%) exhibited significant departures from mutation-drift equilibrium. Most of them (32/47=68%) displayed significant heterozygosity deficiencies, which are generally interpreted as signals of demographic expansions. Only 15 demes displayed significant heterozygosity excesses, which are generally interpreted as signals of bottlenecks. At the population level, and over all scenarios, we detected 14 (14/27=51.9%) significant departures from mutation-drift equilibrium, all in the form of heterozygosity deficiencies. Additionally, our GLM-based analysis revealed a significant three-way interaction between N , m and a (Table 1). This analysis indicates that the BOTTLENECK method detected false signals of expansion (i.e., negative values of ΔH) under moderate (i.e., $a=7.5$) and strong (i.e., $a=50$) gene flow asymmetries, although this pattern was altered by the effective population size at the deme level (Figure 2A-C).

M-ratio method. At the deme level and over all scenarios, 36.3% of the demes (i.e., 98/270) displayed a significant signal of population decrease. However, at the population level, no significant signals of demographic decline were detected. The GLM-based analysis also highlighted a significant three-way interaction between N , m and a (Table 1). This analysis confirmed that the M-ratio method detected false signals of bottlenecks, but only for symmetric gene flow, and under some specific combinations of N and m (Figure 2D).

MSVAR method. 41.85% of deme-level datasets (i.e., 113/270) indicated significant signals of demographic change. Among these significant signals, false signals of expansion were more frequent than false signals of bottleneck (69% vs. 31% respectively). According to the GLM

analysis, we detected two significant two-term interactions, one implying N and m , and the other implying m and a (Table 1). The first interaction indicated that, irrespective of a , false signals of bottleneck were mainly detected for low values of N and m , whereas false signals of expansion tended to be greater for intermediate values of m (0.053) and large values of N (> 500, Figure 3A). The second interaction indicates that, irrespective of N , strong signals of false bottlenecks were mainly detected for situations of symmetric gene flow (i.e., $a=1$), but only for low migration rate ($m=0.01$, Figure 3B). In contrast, strong signals of false expansions were detected under several and contrasted combinations of m and a (Figure 3B). Indeed, false signals of expansion were detected under symmetric gene flow and with high migration rate ($m=0.1$), but also under asymmetric gene flow ($a = 7.5$ or 50) and low to medium migration rates ($m = 0.01$ or 0.053 , Figure 3B). We additionally found that, overall, the magnitude of the false demographic expansion increased with the distance from the putative source (Table 1).

Empirical data

BOTTLENECK method. At the sampling site level, we detected a significant heterozygosity excess in only one case (i.e., site V8 for *S. cephalus* in the river Viaur, Table S3). In contrast, 17 significant heterozygosity deficiencies were detected (Table S3). None of these deviations were significant after Bonferroni corrections. In contrast, at the population level, significant heterozygosity deficiencies were found for all species and in the two rivers (Table 2).

M-ratio method. At the sampling site level, the M-ratio test detected significant bottlenecks at all sites, irrespective of the species and the river (Table S3). At the population level, all

populations exhibited significant signals of bottleneck but one (i.e., *G. gobio* in the river Célé; Table 2).

MSVAR method. At the sampling site level, most sampling sites displayed significant bottlenecks (i.e., all MES values were significantly negative), a pattern that holds true for all species and rivers (Figure 4). There were no clear spatial patterns along the upstream-downstream gradient (i.e., demographic changes did not tend to be larger either downstream or upstream, Figure 4). However, there were striking site-to-site MES discrepancies. For instance, for *P. phoxinus*, we found no significant demographic changes in downstream sites for both the Célé and Viaur rivers (i.e., the MES 95% CI included 0), while other sites were characterized by signals of bottlenecks of diverse magnitudes (Figure 4D).

Concerning population level analyses, we found significant bottlenecks for all species and rivers (Figure 5). These analyses indicated that the magnitude of the bottleneck tended to be stronger for the two largest species (*S. cephalus* and more particularly *L. burdigalensis*) than for the two smallest species (*G. gobio* and *P. phoxinus*; Figure 5). Furthermore, the magnitude of the bottleneck was significantly stronger in the highly fragmented river for *L. burdigalensis* and *G. gobio* (Figure 5).

Regarding the dating of the detected bottlenecks, we estimated that they most probably occurred more than 800 years ago (Figure 6) and thus before dam or weir construction. Accordingly, the probabilities that these bottlenecks occurred after dam or weir construction on the highly fragmented river were very low for all species ($p_{(dam)} < 0.007$, $p_{(weir)} < 0.052$). Only *P. phoxinus* showed a non negligible $p_{(weir)}$ of 0.238. Over all species, the population declines tended to be more ancient in the highly fragmented river than in the weakly fragmented river,

except for *L. burdigalensis* (Figure 6). At the intra-river level, *ta* estimations were also congruent for all species but *L. burdigalensis*. This species revealed the most ancient *ta* values on the weakly fragmented river (Figure 6A) whereas it showed one of the most recent bottlenecks on the highly fragmented river (Figure 6B).

Discussion

As expected, our simulated data showed that asymmetric gene flow can bias the genetically-based inference of past demographic changes. We notably demonstrated that asymmetric gene flow can -under certain conditions of migration rate and effective population size- generate false signals of population expansion. Interestingly, this tendency was detected in our empirical data, but only for one of the three inference methods we used. In contrast, the other two methods revealed strong signals of bottleneck for the four fish species and across the two rivers sampled, which are characterized by different levels of asymmetric gene flow (see Table S1).

Effects of gene flow asymmetry on demographic history inferences

In most cases of significant –although spurious- demographic changes, our simulations showed that asymmetric gene flow generates false signals of demographic expansion. However, this pattern was sensitive to other population parameters, namely the migration rate and the effective population size. We indeed detected strong interactive effects of these population parameters on signals of false demographic changes. These interactive effects are yet difficult to biologically interpret, and make difficult to withdraw general predictions about the effect of asymmetric gene flow on estimates of historical demographic changes in natural

systems. Our results hence demonstrate the importance of simultaneously considering multiple parameters such as the effective population size and the migration rate when testing the robustness of analytical methods through simulations.

The effect of asymmetric gene flow on demographic change inferences was also dependent on the method we used. Indeed, contrary to the MSVAR and the BOTTLENECK methods, the M-ratio method was not affected by asymmetric gene flow, as we found no clear evidence that downstream-biased asymmetric gene flow led to false signals of bottleneck. However, under conditions of symmetric gene flow, the M-ratio method tended to detect false signals of bottleneck, especially under low to moderate migration rates. As demonstrated previously for the MSVAR method (Chikhi *et al.* 2010), this may be due to the confounding effects of population structure, and of the sampling scheme on the representativeness of genetic diversity.

We further observed correlations between distance from the upstream deme and the magnitude of the demographic expansion (only for the MSVAR method). These differences between upstream and downstream demes are probably the result of a source-sink like dynamic, whereby downstream demes act as sinks and receive an excess of alleles through downstream-directed migration (Kawecki & Holt 2002; Morrissey & de Kerckhove 2009). Such source-sink dynamics generally lead to a gradual increase of allelic richness along the upstream-downstream gradient in rivers (Hänfling & Weetman 2006; Blanchet *et al.* 2010), and may therefore produce signals similar to those generated by demographic expansions. This may be because the number and frequencies of alleles actually observed in downstream sites are different than what expected under a demographically stable model. Finally, we found that the symmetric gene flow scenario led to patterns of false bottlenecks (only for low

migration rate), as expected from previous simulations in n-island and two-dimensional stepping-stone models (Städler *et al.* 2009; Chikhi *et al.* 2010).

Effect of asymmetric gene flow on fish population demographic histories

We detected significant population bottlenecks for all species in the two rivers when we analyzed the empirical data. Because two out of the three methods (MSVAR and M-ratio methods) were concordant in highlighting significant bottlenecks, we could reasonably assume that these populations had actually experienced demographic declines. However, significant signals of expansions were identified for all species and rivers at the population level using the BOTTLENECK method. This result is consistent with that obtained for the simulated data (see above), suggesting that, in wild populations, this method may be subjected to the type of bias induced by asymmetric gene flow. Overall, this would suggest that, despite asymmetric gene flow may theoretically affect the inference of demographic changes (our simulations), some inference methods may be powerful enough to bypass this type of bias when a population has actually experienced a bottleneck.

We tested such a hypothesis by running an additional analysis in which we simulated a scenario where the population was subjected to (i) a bottleneck of magnitude and timing similar to that estimated for the empirical data, and (ii) post-bottleneck ϕ values equal to the mean values estimated from the literature survey (i.e., $N=3147$, $a=7.5$, $m=0.053$). We found that MSVAR detected a significant bottleneck (results not shown), which suggests that at least under some conditions, MSVAR can bypass the bias induced by asymmetry. It is noteworthy that we also detected a significant bottleneck using the M-ratio test, whereas BOTTLENECK detected a significant heterozygosity deficiency (i.e., a population expansion signal).

Regarding our empirical data, we note however that some sampling sites did not display significant demographic changes. For instance, the absence of significant bottlenecks for *P. phoxinus* in downstream sites suggests that asymmetric gene flow was probably strong enough in these sites to counterbalance the effect of ancient bottlenecks. This means that more simulations varying both asymmetric gene flow and the characteristics (i.e., magnitude, date and type) of demographic changes are required to refine the conditions under which MSVAR adequately detects population size changes.

To summarize, our study suggests that the BOTTLENECK method may be less suited than the MSVAR and M-ratio methods to infer demographic changes in wild populations experiencing asymmetric gene flow. This conclusion is apparently solid, since our empirical dataset includes fish populations covering a wide range of values regarding their levels of asymmetric gene flow (i.e., $1.893 < a < 9.135$), migration rate (i.e., $0.042 < m < 0.078$) and effective population size (i.e., $546.488 < N < 8,088.188$; see Table S1). But, given the fact we do not know the actual demographic history of these populations, we should remain cautious. An important lesson from this is perhaps that each methods looks at the genetic data from a slightly different angle, and uses different aspects of genetic diversity measures, which may in the end mean that the methods could be used jointly once we better understand their joint properties.

From a biological point of view, we surprisingly found that the dating of the bottlenecks experienced by these populations was similar for three of the four species. For all species, we found that the corresponding demographic declines were ancient and pre-dated the construction of the weirs and dams. For the highly fragmented river, the most likely inferred dates for the beginning of the bottlenecks range from 2,000 to 8,000 years ago, which contrasts with the first known mill weirs in this river (~800 years ago). Such dating suggests

that these bottlenecks occurred after the last glacial period (i.e., Würm glacial period, $ta < 10,000$ years), more precisely between the Atlantic and the middle Subatlantic chronozones of the Holocene (Mangerud *et al.* 1974). These important bottlenecks might have been generated by different events, such as post-glacial colonization (Hänfling *et al.* 2002; Swatdipong *et al.* 2010), environmental stochastic events or random catastrophes (Hedrick & Miller 1992; Lande 1993). The dating obtained with the MSVAR method might only be loosely related to any particular event. Improving our knowledge in the paleoenvironmental history of the studied region would certainly help in understanding the potential causes of such strong population declines. Moreover, in the case of a series of expansions and contractions (which are likely to have happened in many natural systems), it is unclear which event would be “identified” by MSVAR (Quéméré *et al.* 2012; Salmona *et al.* 2012). Simulation of multiple events may thus be necessary for improving our interpretation of MSVAR outputs.

Conclusion

Recent years have shown that several factors can play significant roles in producing non-equilibrium patterns, such as isolation by distance (Leblois *et al.* 2006), population structure (Städler *et al.* 2009; Chikhi *et al.* 2010, Peter *et al.* 2010), rapid decreases of gene flow (i.e., fragmentation, Broquet *et al.* 2010), spatial expansions (Edmonds *et al.* 2002), or departures from the assumed mutation model (Chikhi *et al.* 2010). However, the consequences of asymmetrical gene flow have been neglected. Our simulations confirm our expectation that asymmetric gene flow may generate biases when inferring demographic changes from genetic data. However, the direction and magnitude of such biases depended upon other population characteristics such as migration rate and effective population size.

This study demonstrates the complexity of inferring demographic changes from genetic data in wild populations, and the importance of integrating multiple parameters in simulations aiming at testing the robustness of inference methods in population genetics (e.g., Heller *et al. in press*).

In spite of these potential biases, our multi-specific empirical data suggests that, if used with care and conjointly, most inference methods appear suitable to infer demographic changes in populations experiencing asymmetric gene flow. Indeed, our empirical data suggest that asymmetric gene flow was unlikely to have caused the bottlenecks observed in the eight wild fish populations. We also found that if a major bottleneck was responsible of the patterns observed, it was unlikely to have been caused by recent anthropogenic fragmentation. However, we cannot claim that we have identified unambiguously the factors generating the strong bottlenecks observed in all fish species, even if they dated around the same period.

The last twenty years have seen major improvements in population genetics inference, in particular with the development of full-likelihood methods. Our results and those from previous studies clearly demonstrate that population structure and dispersal patterns have to be considered for properly inferring the demographic history of wild populations (Chikhi *et al.* 2010; Girod *et al.* 2011). An important step for future studies will be to quantify the ability of emerging methods (such as those based on approximate Bayesian computations) to efficiently disentangle signals of demographic changes from false signals arising from population structure (see Peter *et al.* 2010 for instance).

Acknowledgements

We thank Éric Petit, Thomas Broquet, Vincent Dubut, Jérôme Chave, Camille Pagès, Guillaume Evanno, Raphael Leblois and three anonymous reviewers for their constructive and stimulating comments. Olivier Rey, Gaël Grenouillet, Loïc Tudesque, Muriel Gevrey, Laetitia Buisson, Sébastien Brosse, Leslie Faggiano and Fabien Leprieur are thanked for their help in the field. We also thank the CALMIP group, in particular Boris Dintrans and Nicolas Renon. This work was performed using HPC (High Performance Computing) resources from CALMIP (allocation 2010-P1003). We are grateful to Radika Michniewicz for correcting and editing the English. The authors also thank the "Agence de l'Eau Adour-Garonne" for financial support and the "Génopole Toulouse" for help with genotyping. IP is financially supported by a MESR ("Ministère de l'Enseignement Supérieur et de la Recherche") PhD scholarship. This work has been done in two research units (EDB & EcoEx CNRS Moulis) that are part of the "Laboratoire d'Excellence (LABEX) entitled TULIP (ANR -10-LABX-41).

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658

659 **Data accessibility**

R scripts for analyzing MSVAR outputs and for simulate genetic data with ms, empirical
microsatellite datasets and simulated microsatellite datasets are available at Dryad Digital
Repository doi:10.5061/dryad.5sc31.

Authors' contributions

IP, SB, GL, EQ and LC wrote the paper. SB, IP and GL designed the study and
managed the project. IP, SB, EQ and LC implemented the methods and analyzed the results.
All authors read and approved this version of the manuscript.

TABLE 1: Results for the Generalized Linear Models used to synthesize results obtained from the analyses of simulated datasets with (i) BOTTLENECK (associated dependent variable = ΔH), (ii) the M-ratio method (i.e., M), and (iii) MSVAR (i.e., MES). "NS" indicates p-values > 0.05; * indicates p-values < 0.05; ** indicates p-values < 0.01; * indicates p-values < 0.001. Significant effects indicates that explanatory variables significantly affect one of the three dependent variables, each being related to one of the three methods used to infer demographic changes. Significant single terms are not interpreted when they are involved in significant interaction terms.**

Explanatory variables	Dependent variables		
	ΔH	M	MES
Distance from the source (D)	NS	NS	***
Effective population size (N)	***	***	***
Migration rate (m)	***	***	NS
Asymmetry coefficient (a)	***	***	*
$D*N$	NS	**	NS
$D*m$	NS	NS	NS
$D*a$	NS	NS	NS
$N*m$	***	***	**
$m*a$	**	**	***
$N*a$	***	***	NS
$D*N*m$	NS	NS	NS
$D*m*a$	NS	NS	NS
$D*N*a$	NS	NS	NS
$N*m*a$	**	***	NS

TABLE 2: Results for the Wilcoxon's sign rank tests computed by BOTTLENECK for the empirical data and for the M-ratio test. For the two methods, analyses were conducted at the population level assuming a TPM mutation model.

Species	River	Status	Wilcoxon excess	Wilcoxon deficiency	<i>M</i> (sd)
<i>S. cephalus</i>	Viaur	highly fragmented	0.997 ^{NS}	0.005**	0.571 (0.217)*
<i>L. burdigalensis</i>	Viaur	highly fragmented	0.999 ^{NS}	0.002**	0.563 (0.197)*
<i>G. gobio</i>	Viaur	highly fragmented	0.996 ^{NS}	0.006**	0.6931 (0.233)*
<i>P. phoxinus</i>	Viaur	highly fragmented	0.980 ^{NS}	0.027*	0.748 (0.165)*
<i>S. cephalus</i>	Célé	weakly fragmented	0.999 ^{NS}	0.001**	0.5839 (0.146)*
<i>L. burdigalensis</i>	Célé	weakly fragmented	0.999 ^{NS}	<0.001**	0.664 (0.203)*
<i>G. gobio</i>	Célé	weakly fragmented	0.980 ^{NS}	0.027*	0.788 (0.201)
<i>P. phoxinus</i>	Célé	weakly fragmented	1.000 ^{NS}	0.008*	0.739 (0.171)*

For the BOTTLENECK analyses: * indicates a significant deviation from mutation-drift equilibrium (p-value ≤ 0.05); ** indicates a significant deviation from mutation-drift equilibrium after sequential Bonferroni corrections for all populations, and ^{NS} means that there is not a significant deviation from mutation-drift equilibrium (p-value > 0.05). Significant *He* excesses are evidences of recent population decreases. Significant *He* deficiencies can be interpreted as evidences of recent demographic expansion. For the M-ratio test: * indicates a significant *M* value (i.e., $M \leq M_c$), which is interpreted as a significant signal of population decrease, and ^{NS} means that the test is not significant (i.e., $M > M_c$).

Figure legends

FIGURE 1. Diagram representing the linear stepping-stone model with asymmetric gene flow. Black circles are demes. $M_{\text{Downstream}}$ characterizes downstream-directed gene flow, while M_{Upstream} indicates upstream-directed gene flow. Here, deme one is considered as the most upstream deme of a hypothetical river.

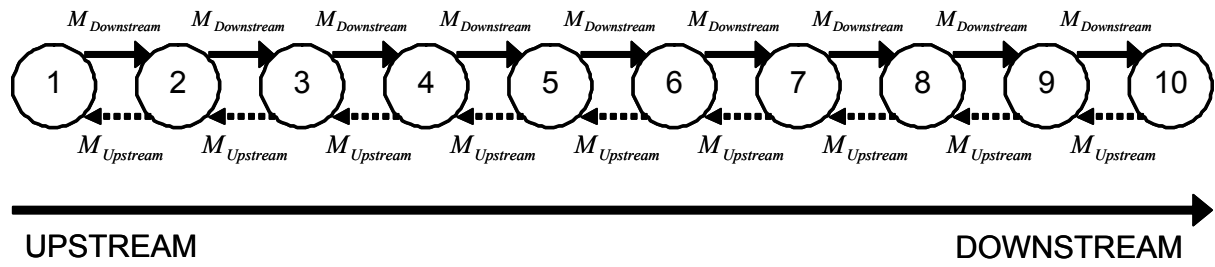
FIGURE 2. Barplots representing values of ΔH (A, B and C) and M (D, E and F) in function of three interacting parameters (as revealed by the GLM-approach: N , m and a). Vertical lines correspond to the standard error. * means that the population has experienced a significant bottleneck (i.e., $M < M_c$).

FIGURE 3. Barplots representing values of mean effect sizes (MES) in function of two different two-term interactions (as revealed by the GLM-approach): (A) interaction between the parameters N and m , and (B) interaction between m and a . Vertical lines correspond to the standard error.

FIGURE 4. Sampling site level mean effect sizes (MES) calculated for all species and rivers. Black squares characterize the weakly fragmented river (Célé) sites, while white squares represent highly fragmented river's sites (Vieur). Dashed lines represent the non-significant relationships between MES values and the distance from the source at each site determined by GLMs. Grey vertical lines represent MES' 95% confidence intervals (CIs). MES whose CIs include zero means that no significant demographic changes have been detected. Negative values correspond to significant bottlenecks. Intra-river and intra-specific MES can be easily compared by seeing if their respective CIs overlap. Two MES are considered significantly different when their CIs did not overlap.

FIGURE 5. Mean effect sizes (MES) for all species and rivers calculated at the population level. Grey vertical lines represent MES' 95% confidence intervals (CIs). Two MES are considered significantly different if their CIs did not overlap. Here, we symbolized only the significance of intra-specific comparisons (i.e., comparison between MES of the highly fragmented vs. the weakly fragmented river for a single species). NS indicates no significant intra-specific difference between weakly fragmented vs. highly fragmented river and *** means significant difference.

FIGURE 6. Bayes' factors (BFs) for the time of the beginning of the demographic changes (ta) calculated for the four species for the weakly fragmented river (A) and the highly fragmented river (B). Results correspond to the population level analyses. BFs greater than 4 are considered as "positive evidences", while BFs greater than 7 are considered as significant. Dashed vertical lines correspond to the construction of dams ($ta = 60$ years) and to the beginning of weir construction ($ta = 800$ years).

729 **FIGURE 1**

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FIGURE 2

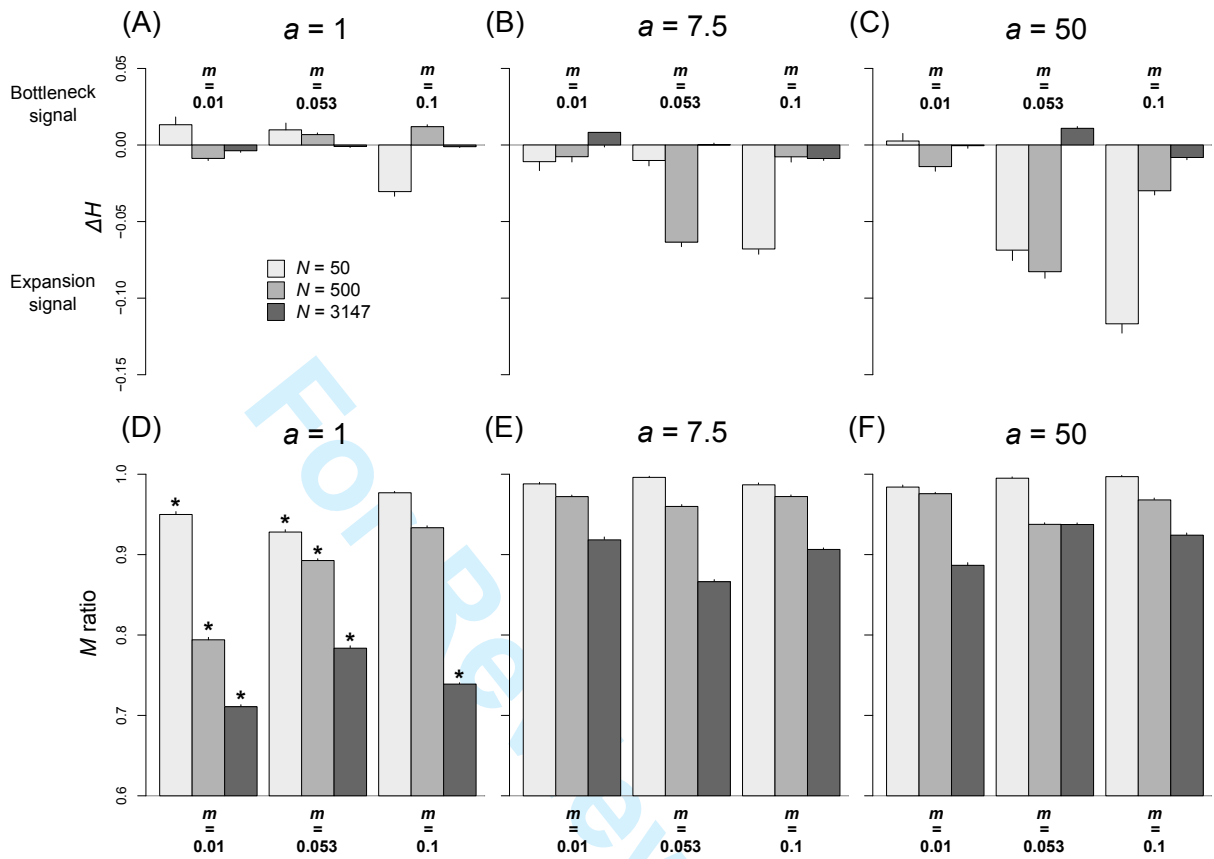
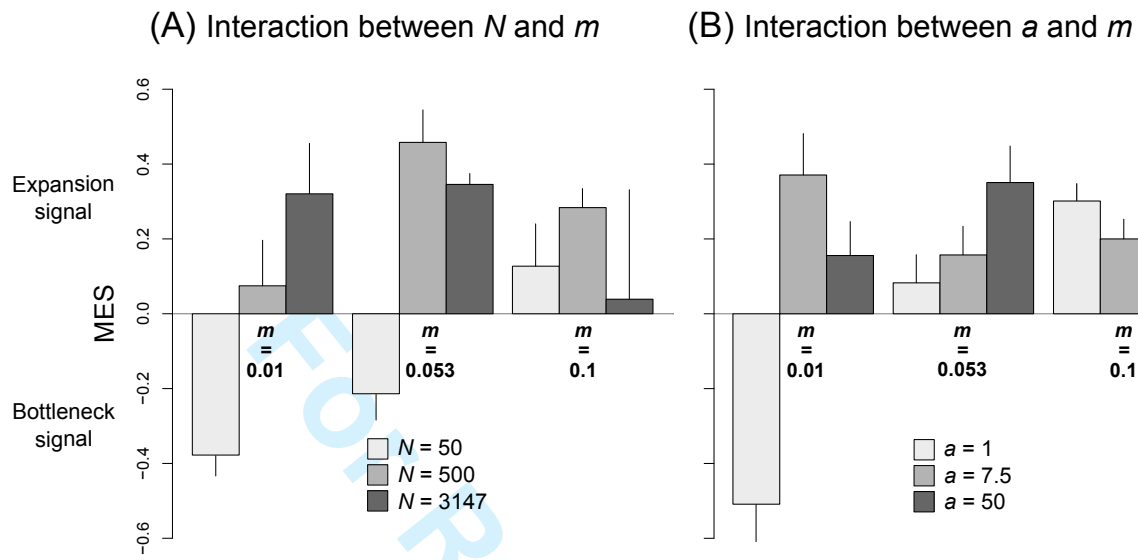
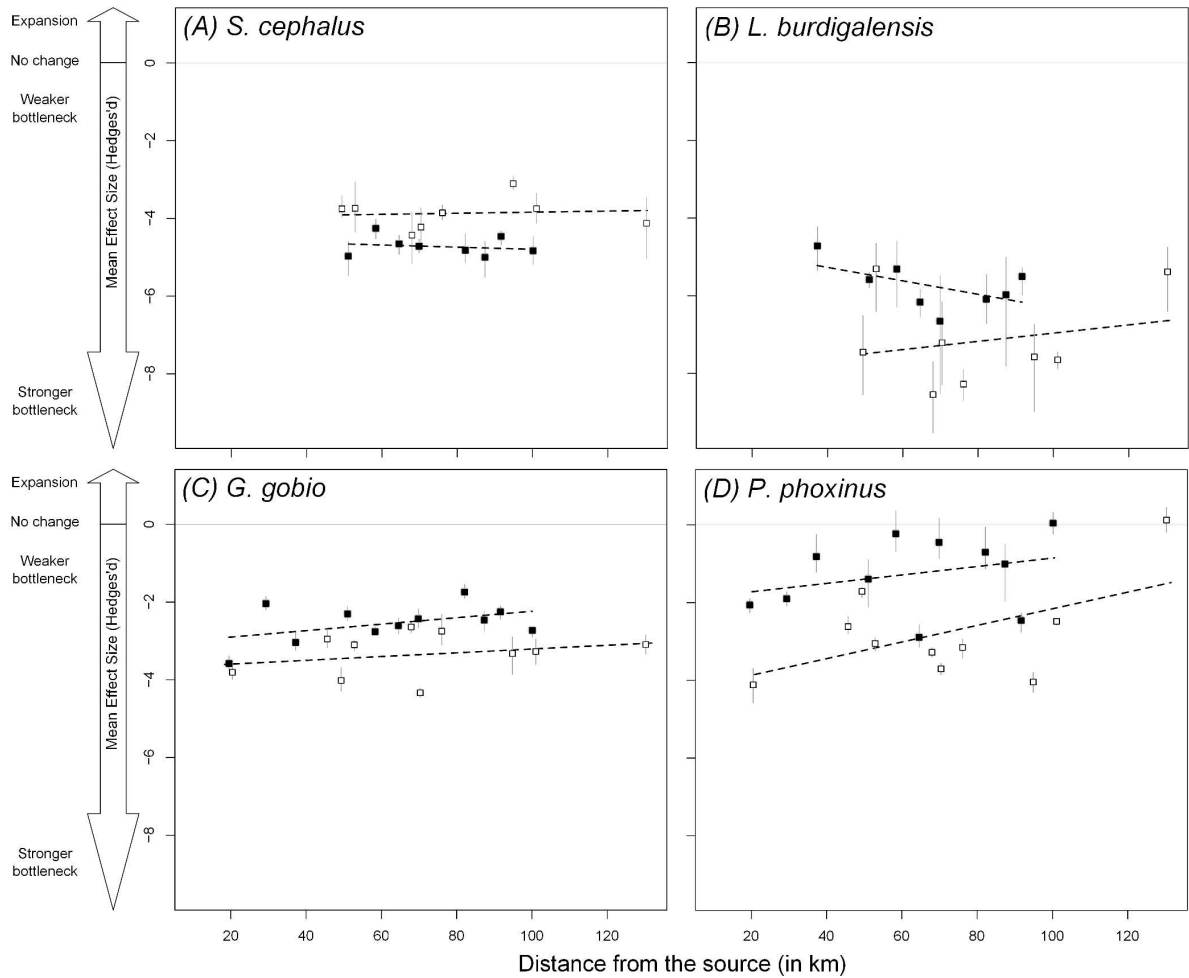


FIGURE 3

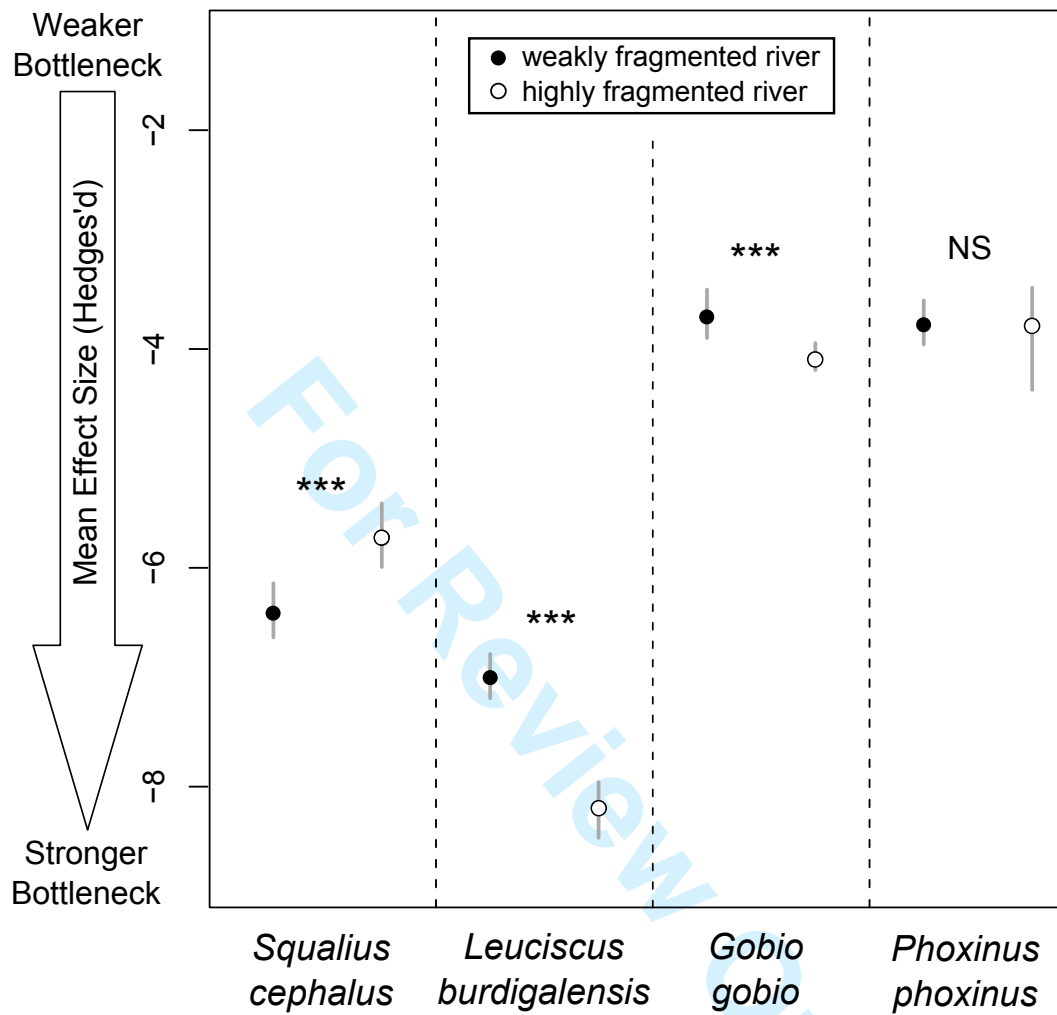


739 **FIGURE 4**

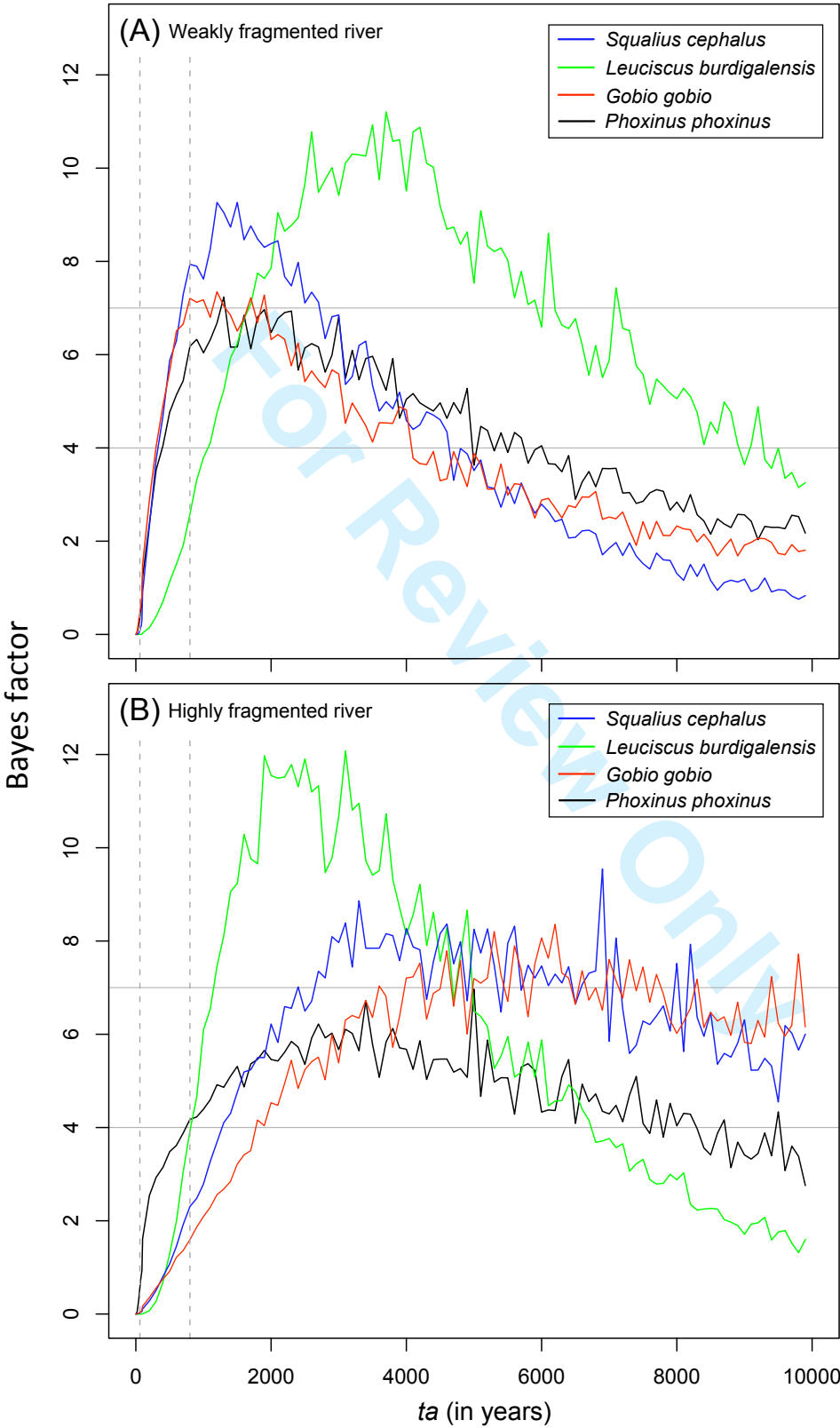


740

FIGURE 5



745 **FIGURE 6**



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